



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,766	07/31/2001	Yukio Kumazawa	046601-5102

009629
MORGAN, LEWIS & BOCKIUS
1800 M STREET NW
WASHINGTON, DC 20036-5869

CONFIRMATION NO. 1188

FORMALITIES LETTER



OC000000006547535

Date Mailed: 09/13/2001

11/14/2001 SDIRET01 00000066 09917766 **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	36.00 OP
04 FC:102	336.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$356.
 - \$36 for 2 total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1196.**

11/14/2001 SDIRET01 00000066 09917766

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	36.00 OP
04 FC:102	336.00 OP

Copy of this notice **MUST** be returned with the reply.

Attest
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



SPECTOR
\$

PATENT
ATTORNEY DOCKET NO.: 046601-5102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yukio KUMAZAWA

Application No.: 09/917,766 ✓

Filed: July 31, 2001

For: DOCUMENT IMAGE PROCESSING
DEVICE, DOCUMENT IMAGE PRO-
CESSING METHOD, AND MEMORY
MEDIUM

Group Art Unit: 2621

Examiner: Unassigned

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

1. This replies to the Notice to File Missing Parts of Nonprovisional Application mailed September 13, 2001.

A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.

2. Declaration Or Oath

- ☒ No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney
- ☐ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.
- ☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

PATENT
ATTORNEY DOCKET NO.: 046601-5102

3. English Translation of Non-English Language Papers

- ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document

4. Small Entity Status

- ☐ Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Fee Calculation

Basic Fee:				740.00
Patent Application-\$740.00				
Design Application-\$330.00				
	Number Filed	Number Extra	at a Rate of	
Total Claims	22 - 20 =	2	\$ 18.00 each=	36.00
Independent Claims	7 - 3 =	4	\$ 84.00 each=	336.00
Multiple dependent claim(s), if any			\$280.00	
Missing Parts Surcharge Fee			\$130.00	130.00
SUB-TOTAL =				1242.00
Reduction by 1/2 for filing by a small entity				
SUB-TOTAL =				1242.00
Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(k) and § 1.52(d))			\$130.00	
Fee For Processing and retention of application (37 C.F.R. § 1.21(l) and § 1.53(d))			\$130.00	
TOTAL FILING FEE =				\$1242.00

6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. §§ 1.17(a)-(d), for the total number of months checked below:

<u>Total months requested</u>	<u>Fee for extension</u>	<u>[fee for Small Entity]</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$200.00
<input type="checkbox"/> three months	\$ 920.00	\$460.00
<input type="checkbox"/> four months	\$1,440.00	\$720.00
<input type="checkbox"/> five months	\$1,960.00	\$980.00

Extension of time fee due with this request: \$

If an additional extension of time is required, please consider this a Petition therefor.

- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

7. Fee Payment

The total fee due is: Completion Fees \$1242.00
Extension Fees \$
Assignment \$ 40.00
Total Fee Due \$1282.00

- ☒ Enclosed is a check in the amount of \$1282.00 representing the total fee due and the assignment recordation fee.

- ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

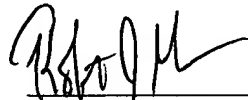
PATENT
ATTORNEY DOCKET NO.: 046601-5102

8. Additional papers enclosed.

- ☒ Formal Drawings – 17 sheets containing 29 figures
- ☐ Claim for Priority
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449, ___ reference(s) as listed
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP



Robert J. Goodell
Reg. No. 41,040

Date: November 13, 2001

CUSTOMER NO. 09629
MORGAN, LEWIS & BOCKIUS LLP
1800 M Street, N.W.
Washington, D.C. 20036
Telephone: (202) 467-7000
Facsimile: (202) 467-7176



#24

PATENT
ATTORNEY DOCKET NO. 046601-5102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Yukio KUMAZAWA)	
)	
Application No.: 09/917,766)	Group Art Unit: 2621
)	
Filed: July 31, 2001)	Examiner: Unassigned
)	
For: DOCUMENT IMAGE PROCESSING)	
DEVICE, DOCUMENT IMAGE PRO-)	
CESSING METHOD, AND MEMORY MEDIUM))	

Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF FORMAL DRAWINGS

Filed herewith are seventeen (17) sheets of formal patent drawings containing twenty-nine (29) figures. It is requested that these drawings be approved and made part of the official record in the above-identified patent application.

If there are any fees due in connection with the filing of these drawings, please charge the fees to our Deposit Account No. 50-0310. If a fee is required for an extension of time under 37 C.F.R. 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,
MORGAN, LEWIS & BOCKIUS LLP

Robert J. Goodell
Reg. No. 41,040

Dated: November 13, 2001
Customer No. 009629
MORGAN, LEWIS AND BOCKIUS LLP
1800 M STREET, N.W.
WASHINGTON, D.C. 22036-5869
(202)467-7000